

In the United States Patent and Trademark Office

DEP \$ REF
Room 307

Serial No.: 09/582,372

§ Filing Date: 09/07/2000

Title: MACHINE FOR PROCESSING
FOIL

§ Examiner:

Applicant: WYSSMAN, Hans
SCHAEDT Johannes, Georg

§ GA No.:



#9/Reg.
for
Refund
M. WATTS

Atty docket no: 1.G164.183

REFUND REQUEST

To:

Assistant Commissioner for Patents
Box : Refund
Customer Service Center
Washington, D.C. 20231
U.S.A.

OFFICE OF FINANCE
RECEIVED
NOV 03 2000
US PATENT & TRADEMARK
OFFICE

Dear Sir:

The account of BUGNION S.A., no 50-0800 was debited for the filing of the above PCT application as a large entity (\$1.482.00) whereas it is entitled to claim a small entity status (\$741.00). This was duly indicated on the following documents submitted to the USPTO (copy of which are herewith enclosed) :

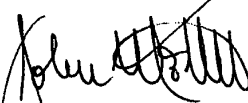
- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 U.S.C. 371
- Statement claiming small entity status (37CFR 1.9(f) & 1.27(c)) – Small Business Concern.

Therefore, the undersigned requests that the deposit account of BUGNION S.A. be credited in an amount of \$ 741.00.

If the examiner has further questions, he is invited to contact the undersigned at phone 011-4122-3468744, fax at 011-4122-3468960 or e-mail : moeteli@bugnion.ch.

Respectfully submitted,

BUGNION S.A.



John MOETELI
U.S. Reg. No. 35,289

Date : Oct 31, 2000

Enclosures ment.

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

1. G164.183

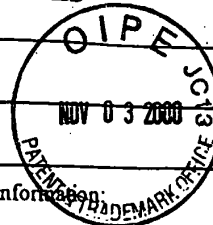
U.S. APPLICATION NO. (if known, see 37 CFR 1.51)

INTERNATIONAL APPLICATION NO.
PCT/CH97/00484INTERNATIONAL FILING DATE
December 24, 1997PRIORITY DATE CLAIMED
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TITLE OF INVENTION

MACHINE FOR PROCESSING FOIL

APPLICANT(S) FOR DO/EO/US WYSSMANN Hans and SCHAEDE Johannes



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

An unsigned Statement Claiming Small Entity Status

17. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$970.00
International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$840.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY



\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	34 - 20 =	14	X \$18.00
Independent claims	- 3 =		X \$78.00

\$ 252.00

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$260.00

\$ 260.00

TOTAL OF ABOVE CALCULATIONS =

\$ 1482.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 741.00

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

TOTAL NATIONAL FEE =

\$ 741

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$ separately
debited

TOTAL FEES ENCLOSED =

\$ 741.00

Amount to be:

refunded

\$ 0.00

charged

\$ 741.00

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

☒ Please charge my Deposit Account No. 50-0800 in the amount of \$ 741.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-0800. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE:

JOHN MOETTELI

NAME

35,289

REGISTRATION NUMBER

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

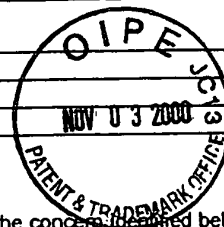
Docket Number (Optional)
1.G164.183

Applicant, Patentee, or Identifier: DE LA RUE GIORI S.A.

Application or Patent No.: _____

Filed or Issued: _____

Title: MACHINE FOR PROCESSING FOIL



I hereby state that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN DE LA RUE GIORI S.A.

ADDRESS OF SMALL BUSINESS CONCERN 4, RUE DE LA PAIX
1003 LAUSANNE - SWITZERLAND

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Fausto GIORI / Pierre-Yves ANDEREGG

TITLE OF PERSON IF OTHER THAN OWNER General Technical Director/ Intellectual Property
Manager

ADDRESS OF PERSON SIGNING 4, rue de la Paix, 1003 Lausanne, Switzerland

SIGNATURE _____

Fausto GIORI
Gen. Techn. Dir.

Pierre-Yves ANDEREGG
I.P. Manager

DATE August 18, 2000